### IAP15 Rec'd PCT/PTO 25 AUG 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 360842012800 DESIGNATED/ELECTED OFFICE (DO/EO/US) NOT NO (if known see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED 27 February 2004 25 February 2005 PCT/JP2005/003101 TITLE OF INVENTION SILICONE COMPOUND AND PROCESS FOR PRODUCING THE SAME APPLICANT(S) FOR DO/EO/US Kazuhiko FUJISAWA et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). 6. X is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. 13. x An Application Data Sheet under 37 CFR 1.76. A substitute specification. 15. A power of attorney and/or change of address letter. 16. x A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. **17**. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

## IAP9 Rec'd PCT/PTO 25 AUG 2006

PTO-1390 (Rev. 07-2005) Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. 360842012800 PCT/JP2005/003101 Return Receipt Postcard; PCT/IB/301, PCT/IB/304, PCT/IB/307, PCT/IB/308, PCT/ISA/210 Other items or information: The following fees have been submitted **PTO USEONLY CALCULATIONS** Basic national fee (37 CFR 1.492(a)) ...... \$300 300.00 21. x Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report 200.00 All other situations ......\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 400.00 International Searching Authority ......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations.....\$500 \$ 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE **Total Sheets** Extra Sheets thereof (round up to a whole number) \$ x \$250.00 - 100 = /50 = Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). RATE **NUMBER EXTRA CLAIMS** NUMBER FILED 6 - 20 =X **Total claims** 2-3= X Independent claims + MULTIPLE DEPENDENT CLAIM(S) (if applicable) 900.00 \$ TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 900.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). \$ 900.00 TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 900.00 TOTAL FEES ENCLOSED = Amount to be \$ refunded: Amount to be charged

# 10/590850 IAP9 Rec'd PCT/PTO 25 AUG 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

a A check in the amount of \$	to co	ver the above fees is er		•			
b. X Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed	03-1952	_ in the amount of \$	900.00	_ to cover the ab	ove fees.		
C. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1952 A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status.							
			1 =				
SEND ALL CORRESPONDENCE TO:							
SEND ALL CORRESPONDENCE TO.	SIGN	SIGNATURE					
	NAM	Raj S. Dave' NAME					
CUSTOMER NUMBER: 25227							
		REG	42,465 REGISTRATION NUMBER				
		•					
, ,							
·	•		1		·		
	•						
		•					
					100 <u> </u>		

### 10/590850 IAP9 Rec'd PCT/PTO 25 AUG 2006

PTO/SB/122 (04-05)

Approved for use through 07/31/2006. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## CHANGE OF CORRESPONDENCE ADDRESS Application

Address to:

to: Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Application Number	Not yet assigned			
Filing Date	Concurrently herewith			
First Named Inventor	Kazuhiko FUJISAWA			
Art Unit	Not Yet Assigned			
Examiner Name	Not Yet Assigned			
Attorney Docket No.	360842012800			

Please change the Correspondence Address for th	e above-identified	application to:					
x The address associated with Customer Number	er: 25227	7					
Firm or Individual Name							
Address							
City	State		Zip				
Country							
Telephone		Email					
I am the:  Applicant/Inventor  Assignee of record of the entire interest. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  X Attorney or agent of record. Registration Number 42,465  Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number							
Signature  Typed or Printed Pai S Day							
Name Raj S. Dave		···					
Date August 25, 2006	Telephone (70	03) 760-7755	<u></u>				
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.							
*Total of 1 forms are submitted.							